

# Pioneering advice from preeminent advisers.

Adviser Profile for **Kevin Ly.**

I am sub-authorised by Kearney Group Private Wealth Pty Ltd (ABN 39 159 163 774) ('Kearney Group Private Wealth') to provide the financial services described in Section 2 of this Adviser Profile. Kearney Group Private Wealth is a Corporate Authorised Representative of:

### Imagineering FS Pty Ltd ('Imagineering')

ABN 41 627 350 636

Australian Financial Services Licence No. 510873 ('AFSL')

Suite 137, 425 Smith Street, Fitzroy VIC 3065

PO Box 3347, Burnley North, VIC 3121

**Email:** [finplan@kearneygroup.com.au](mailto:finplan@kearneygroup.com.au)

**Website:** [www.kearneygroup.com.au](http://www.kearneygroup.com.au)

**Phone:** +61 3 9428 8822

The full list of financial services that Imagineering and Kearney Group Private Wealth can provide is set out in the Financial Services Guide ('FSG' or 'Guide'), which you have received along with this Adviser Profile. This Adviser Profile forms part of the FSG. Please contact Kearney Group Private Wealth if you have not been given an FSG.

In this document, the terms 'I', 'me', 'us', 'we' and 'our' refer to Kearney Group Private Wealth. The term 'Licensee' refers to Imagineering.

## About your adviser.

### Who is your Financial Adviser?

Your Financial Adviser is Kevin Ly.

My Authorised Representative number is 1238077.

### What experience does your Financial Adviser have?

I have experience in the financial planning profession dating back to 2012. I began providing financial advice in 2015 and I joined Kearney Group Private Wealth in 2018.

I offer integrated and holistic financial services to individuals and households, including complex families with multi-generational wealth and strategy needs.

### What qualifications and professional memberships does your Financial Adviser have?

- I am a Certified Financial Planner®.
- I hold a Graduate Certificate of Financial Planning from Deakin University.
- I hold a Bachelor of Business (Accounting) from Monash University.
- I hold a Diploma of Financial Planning.
- I am a member of the Financial Planning Association of Australia Limited (FPA).

### Does your Financial Adviser have any associations or relationships?

I have an association with Kearney Group Private Wealth as an employee. Fees and commissions are paid to Kearney Group Private Wealth by Imagineering. Kearney Group Private Wealth is a Corporate Authorised Representative of Imagineering. Kearney Group Private Wealth's Corporate Authorised Representative number is 423942.

### How can you provide your instructions to your Financial Adviser?

You may provide instructions to your Financial Adviser by using any of the contact details provided in *Section 3: Contact & Acknowledgment*.

## Section 2: Services.

### The services I provide.

In which area(s) is your Financial Adviser authorised to provide advice?

I am authorised by Kearney Group Private Wealth to provide financial services, including advice or services in the following areas:

- deposit and payment products including non-basic deposit products (e.g. term deposits);
- government debentures, stocks or bonds;
- life investment and life risk products (e.g. life insurance);
- managed investment schemes including investor directed portfolio services (e.g. exchange traded funds);
- standard margin lending facilities;
- retirement savings account products;
- securities (e.g. shares); and
- superannuation.

Please ask me if you would like a referral for any other services. If I receive a specific fee for this referral, it will be disclosed in an advice document such as a Statement of Advice ('SoA'), if I provide you with personal advice.

## Section 3: Contact & Acknowledgement.

How you can contact your Financial Adviser.

Your Financial Adviser	
Financial Adviser:	<b>Kevin Ly</b> of Kearney Group Private Wealth
Phone:	+61 3 9428 8822
Email:	<a href="mailto:kevin.ly@kearneygroup.com.au">kevin.ly@kearneygroup.com.au</a>
Practice Details	
Phone:	+61 3 9428 8822
Email:	<a href="mailto:finplan@kearneygroup.com.au">finplan@kearneygroup.com.au</a>
Web:	<a href="http://www.kearneygroup.com.au">www.kearneygroup.com.au</a>
Street Address:	Suite 137, 425 Smith Street, Fitzroy VIC 3065
Postal Address:	PO Box 3347 BURNLEY NORTH, VIC 3121

### Acknowledgement: Client Copy.

I/We acknowledge that I was / we were provided with the Imagineering FS Pty Ltd Financial Services Guide version 7 dated 10 December 2021.

Client name: \_\_\_\_\_

Client signature: \_\_\_\_\_

Date received: \_\_\_\_\_

Client name: \_\_\_\_\_

Client signature: \_\_\_\_\_

Date received: \_\_\_\_\_

*OR Adviser to complete as follows if Financial Services Guide is sent (physical or electronic copy) to Client(s):*

I confirm that I sent a copy of the Imagineering FS Pty Ltd Financial Services Guide version 7 dated 10 December 2021:

Sent to: \_\_\_\_\_

Via: \_\_\_\_\_

Sent by: \_\_\_\_\_

Sent on: \_\_\_\_\_

Sent to: \_\_\_\_\_

Via: \_\_\_\_\_

Sent by: \_\_\_\_\_

Sent on: \_\_\_\_\_

**Acknowledgement: Adviser Copy (retain on client file).**

I/We acknowledge that I was/we were provided with the Imagineering FS Pty Ltd Financial Services Guide version 7 dated 10 December 2021.

Client name: \_\_\_\_\_

Client signature: \_\_\_\_\_

Date received: \_\_\_\_\_

Client name: \_\_\_\_\_

Client signature: \_\_\_\_\_

Date received: \_\_\_\_\_

*OR Adviser to complete as follows where Financial Services Guide has been mailed / provided electronically to Client(s):*

I confirm that I sent a copy of the Imagineering FS Pty Ltd Financial Services Guide version 7 dated 10 December 2021:

Sent to: \_\_\_\_\_

Via: \_\_\_\_\_

Sent by: \_\_\_\_\_

Sent on: \_\_\_\_\_

Sent to: \_\_\_\_\_

Via: \_\_\_\_\_

Sent by: \_\_\_\_\_

Sent on: \_\_\_\_\_